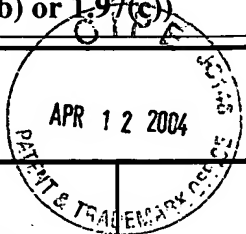


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-1048

In Re Application Of: **Peterson et al.**



Serial No.
10/644,310

Filing Date
August 20, 2003

Examiner
Unknown

Group Art Unit
3713

Title: **GAMING DEVICE HAVING A SYMBOL ACCUMULATION GAME WITH A PHYSICAL PRIZE**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-1048

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APR 12 2004

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Unknown

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3713

GAMING DEVICE HAVING A SYMBOL ACCUMULATION GAME WITH A PHYSICAL PRIZE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **4-8-2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

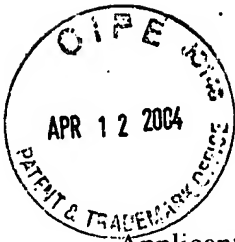


Signature

Dated: **April 8, 2004**

Adam H. Masia
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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peterson et al.
Appl. No.: 10/644,310
Conf. No.: 6023
Filed: August 20, 2003
Title: GAMING DEVICE HAVING A SYMBOL ACCUMULATION GAME WITH A
PHYSICAL PRIZE
Art Unit: 3713
Examiner: Unknown
Docket No.: 0112300-1048

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,695,053	September 22, 1987	Vazquez et al.
5,397,125	March 14, 1995	Adams
6,014,594	January 11, 2000	Heidel et al.
6,062,980	May 16, 2000	Luciano
6,113,098	September 5, 2000	Adams
6,186,894	February 13, 2001	Mayeroff
6,224,483	May 1, 2001	Mayeroff
6,231,442	May 15, 2001	Mayeroff
6,491,584	December 10, 2002	Graham et al.
6,572,471	June 3, 2003	Bennett
6,609,969	August 26, 2003	Luciano et al.
6,609,970	August 26, 2003	Luciano
6,609,978	August 26, 2003	Paulsen
2003/0060264	March 27, 2003	Chilton et al.

OTHER DOCUMENTS

Black Swan Advertisement written by IGT, published prior to 2001.

Wild Bear Salmon Run Advertisement written by IGT, published in 2003.

Arcade Planet Publication printed from http://www.arcadeplanet.com/coin_merit_pzone.htm and <http://www.arcadeplanet.com/images/vms.jpg> on March 31, 2004.

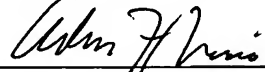
Raining Diamonds Advertisement published by Sierra Design Group in 2001.

Gold Exchange Advertisement published by Sierra Design Group prior to August 2003.

Applicants look forward to early and favorable consideration of this matter.

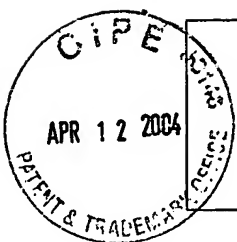
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Phone: (312) 807-4284

Dated: April 8, 2004



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 0112300-1048	Application No. 10/644,310
Applicant Peterson et al.	
Filing Date August 20, 2003	Group 3713

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,695,053	09-22-87	Vazquez et al.			
	5,397,125	03-14-95	Adams			
	6,014,594	01-11-00	Heidel et al.			
	6,062,980	05-16-00	Luciano			
	6,113,098	09-05-00	Adams			
	6,186,894	02-13-01	Mayeroff			
	6,224,483	05-01-01	Mayeroff			
	6,231,442	05-15-01	Mayeroff			
	6,491,584	12-10-02	Graham et al.			
	6,572,471	06-03-03	Bennett			
	6,609,969	08-26-03	Luciano et al.			
	6,609,970	08-26-03	Luciano			
	6,609,978	08-26-03	Paulsen			
	2003/0060264	03-27-03	Chilton et al.			

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	Black Swan Advertisement written by IGT, published prior to 2001.
	Wild Bear Salmon Run Advertisement written by IGT, published in 2003.
	Arcade Planet Publication printed from http://www.arcadeplanet.com/coin_merit_pzone.htm and http://www.arcadeplanet.com/images/vms.jpg on March 31, 2004.
	Raining Diamonds Advertisement published by Sierra Design Group in 2001.
	Gold Exchange Advertisement published by Sierra Design Group prior to August 2003.

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.